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Atty. Dkt. No. 016887-1036

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Masahiro OHWA  
Title: IMAGE FORMING APPARATUS  
Appl. No.: 09/811,765  
Filing Date: 03/20/2001  
Examiner: Unassigned  
Art Unit: Unassigned

2622

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**RESPONSE TO NOTICE OF INCOMPLETE REPLY**  
**(NONPROVISIONAL)**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice of Incomplete Reply mailed on November 7, 2001, in the above-identified application, the undersigned wishes to inform the PTO that only one page of the Notice to File Missing Parts mailed on May 29, 2001, was received and that there was no "substitute drawings" requirement on the Notice as can be seen from the attached copy. However, formal drawings were subsequently filed on November 9, 2001, as evidenced by the attached postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741.

Respectfully submitted,

Date: November 29, 2001

By Richard L. Schwaab

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
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Richard L. Schwaab  
Attorney for Applicant  
Registration No. 25,479



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/811,765         | 03/20/2001          | Masahiro Ohwa         | 016887-1036            |

Richard L. Schwaab  
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CONFIRMATION NO. 9294

## FORMALITIES LETTER



\*OC000000007039976\*

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Date Mailed: 11/07/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 10/01/2001 to the Notice to File Missing Parts (Notice) mailed 05/29/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RLS



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
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| 09/811,765         | 03/20/2001          | Masahiro Ohwa         | 016887/1036            |

CONFIRMATION NO. 9294

Richard L. Schwaab  
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Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, DC 20007-5109

ACTION DUE *Missing Parts* FORMALITIES LETTER

CLIENT/MATTER #

DUE DATE

DKTD BY

ATTY



OC000000006124005\*

Date Mailed: 05/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- o Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- o **The balance due by applicant is \$ 970.**
- o Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

H-T

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Title: IMAGE FORMING APPARATUS  
Inventor(s): Masahiro OHWA  
Appl. No.: 09/811,765

Dkt. No. 016887-1036  
RLS (11/9/01)

- Transmittal of Formal Drawings (1 pg.);
- Formal Drawings (18 sheets)

Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

RLS/jkb/jl



Respectfully,  
Foley & Lardner

*Insp by R*

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 016887/1036

In re patent application of

Masahiro OHWA

Serial No.: 09/811,765

Art Unit: Unassigned

Filed: 3/20/01

Examiner: Unassigned

For: IMAGE FORMING APPARATUS

SUBMISSION OF FORMAL DRAWINGS

The Assistant Commissioner for Patents  
Washington, D.C. 20231

COPY

RECEIVED  
DEC 18 2001  
Technology Center 2600

Sir:

Applicant submits herewith seven (18) sheets of formal drawings.

November 9, 2001

Date

/s/ COPY  
Richard L. Schwaab  
Registration No. 25,479

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